

PATENT COOPERATION TREATY

From the
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

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**MARSH FISCHMANN &
BREYFOGLE LLP**

PCT

WRITTEN OPINION

(PCT Rule 66)

To:
**ROBERT B. BERUBE
MARSH FISCHMANN & BREYFOGLE LLP
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AURORA, CO 80014**

Date of Mailing
(day/month/year)

08 MAY 2007

REPLY DUE

within 2 months/days from
the above date of mailing

Applicant's or agent's file reference

50055-00028

International application No.

International filing date (day/month/year)

Priority date (day/month/year)

PCT/US03/29851

18 September 2003 (18.09.2003)

19 September 2002 (19.09.2002)

International Patent Classification (IPC) or both national classification and IPC

IPC: **H04N 7/18, 7/08, 7/16, 7/173**

USPC: **725/78, 71, 74, 82, 85-88, 91-93, 95, 97-98, 111, 114, 119-121, 131, 138-139, 144, 148, 151**

Applicant

SYMPHONY MEDIA SYSTEMS, LLC

1. This written opinion is the first (first, etc.) drawn by this International Preliminary Examining Authority.

2. This opinion contains indications relating to the following items:

- I ☒ Basis of the opinion
- II ☐ Priority
- III ☐ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Rule 66.2 (a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☒ Certain defects in the international application
- VIII ☐ Certain observations on the international application

3. The applicant is hereby invited to reply to this opinion.

When? See the time limit indicated above. ~~The applicant may, before the expiration of that time limit, request this Authority to grant an extension. See rule 66.2(d).~~

How? By submitting a written reply, accompanied, where appropriate, by amendments, according to Rule 66.3. For the form and the language of the amendments, see Rules 66.8 and 66.9.

Also For an additional opportunity to submit amendments, see Rule 66.4.
For the examiner's obligation to consider amendments and/or arguments, see Rule 66.4 bis.
For an informal communication with the examiner, see Rule 66.6

If no reply is filed, the international preliminary examination report will be established on the basis of this opinion.

4. The final date by which the international preliminary examination report must be established according to Rule 69.2 is: **19 January 2005 (19.01.2005)**

Name and mailing address of the IPEA/US
Mail Stop PCT, Attn: IPEA/US
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Authorized officer

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Form PCT/IPEA/408 (cover sheet) (July 1998)

WRITTEN OPINION

International application No.

PCT/US03/29851

I. Basis of the opinion

1. With regard to the elements of the international application:*

- ☒ the international application as originally filed
- ☒ the description:
 pages 1-23 _____, as originally filed
 pages NONE _____, filed with the demand
 pages NONE _____, filed with the letter of _____.
- ☒ the claims:
 pages 24-29 _____, as originally filed
 pages NONE _____, as amended (together with any statement) under Article 19
 pages NONE _____, filed with the demand
 pages NONE _____, filed with the letter of _____.
- ☒ the drawings:
 pages 1/4-4/4 _____, as originally filed
 pages NONE _____, filed with the demand
 pages NONE _____, filed with the letter of _____.
- ☐ the sequence listing part of the description:
 pages NONE _____, as originally filed
 pages NONE _____, filed with the demand
 pages NONE _____, filed with the letter of _____.

2. With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language _____ which is:

- ☐ the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of the translation furnished for the purposes of international preliminary examination (under Rules 55.2 and/or 55.3).

3. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the written opinion was drawn on the basis of the sequence listing:

- ☐ contained in the international application in printed form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. ☐ The amendments have resulted in the cancellation of:

- ☐ the description, pages NONE _____
- ☐ the claims, Nos. NONE _____
- ☐ the drawings, sheets/fig NONE _____

5. ☐ This opinion has been drawn as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).

* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this opinion as "originally filed."

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V. Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. STATEMENT

Novelty (N)	Claims <u>NONE</u>	YES
	Claims <u>1-29</u>	NO
Inventive Step (IS)	Claims <u>NONE</u>	YES
	Claims <u>1-29</u>	NO
Industrial Applicability (IA)	Claims <u>1-29</u>	YES
	Claims <u>NONE</u>	NO

2. CITATIONS AND EXPLANATIONS

Claims 1-29 lack novelty under PCT Article 33(2) as being anticipated by Basawapatna et al. (US 2002/0031224 A1).

Regarding claims 1-29, Basawapatna et al. discloses the claimed cable distribution system, comprising a headend receptive of signals from a plurality of video sources...a plurality of service modules associated with the headend...and a plurality of interface units associated with each service module... (see entire document).

Claims 1-29 meet the criteria set out in PCT Article 33(2)-(4), and thus have industrial applicability because the subject matter claimed can be made or used in industry.

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VII. Certain defects in the international application

The following defects in the form or contents of the international application have been noted:

The description is objected to as containing the following defect(s) under PCT Rule 66.2(a)(iii) in the form or contents thereof: page 20 of the description is missing.

Claims 5 and 19 are objected to under PCT Rule 66.2(a)(iii) as containing the following defect(s) in the form or contents thereof: the claimed "interfa ce modules" and "interface module" should be --interface units-- and --interface unit-- respectively.

Claim 23 is objected to under PCT Rule 66.2(a)(iii) as containing the following defect(s) in the form or contents thereof: the claimed "mode" should be --modem--.

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Supplemental Box

(To be used when the space in any of the preceding boxes is not sufficient)

TIME LIMIT:

The time limit set for response to a Written Opinion may not be extended, 37 CFR 1.484(d). Any response received after the expiration of the time limit set in the Written Opinion will not be considered in preparing the International Preliminary Examination Report.